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Attorney Docket No. UCIVN-020US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Koehler et al.
U.S. App. No.: 10/533,060
Int. App. No.: PCT/US03/34837
Int. Filing Date: 10/30/2003
For: Compounds, Methods and Devices for Inhibiting Neoproliferative Changes in Blood Vessel Walls

Mail Stop PCT Commissioner for Patents Office of PCT Legal Administration P.O. Box 1450 Alexandria, VA 22313-1450 PCT Legal Administration

Attorney Advisor: Erin P. Thomson

CERTIFICATE OF EXPRESS MAIL

I hereby certify that this document and its attachments are being deposited with the United States Postal Service as Express Mail (Label No. EM048371460 US) in an envelope addressed to: Mail Stop PCT, Commissioner of Patents, Office of PCT Legal Administration, Alexandria, VA 22313-1450 on March 12, 2007.

By: Travis Dewey

RENEWED SUBMISSION UNDER 37 CFR 1.497(d)

Dear Sir:

Applicant hereby responds to the *Decision On Request Under 37 C.F.R. 1.497(d)* dated June 19, 2007, wherein it is stated that all requirements for removal of Michael D. Cahalan as an inventor have been complied with except that "Applicants have not complied with 37 CFR 3.37(b)."

Enclosed is a duly executed Statement Under 37 CFR 3.73(b) signed by Linda S. Stevenson, Manager of Patent Prosecution for the Regents of the University of California,

Attorney Docket No. UCIVN-020US

assignee of 100% interest in and to the subject patent application. Also enclosed is a signed Attestation establishing the authority of Ms. Stevenson to sign this Rule 3.73(b) statement on behalf of the assignee.

All outstanding requirements are now believed to have been met. Accordingly, granting of Applicant's petition to delete Michael D. Cahalan as an inventor is respectfully requested.

The PCT Attorney Advisor is invited to telephone Applicant's undersigned counsel to discuss any further measures that may be required for granting of this petition. No fee is seen to be due, however if any fee is properly deemed to be due, the Commissioner is authorized to deduct such fee from Deposit Account No. 50-0878.

Respectfully submitted, STOUT UXA BUYAN & MULLINS, LLP

Date: 21,2007

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PTO/SB/98 (04-07)

Approved for use through 09/30/2007. OMB 0851-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)

| Applicant/Patent Owner: Raif Koehler, Heike Wulff, Joachim Hover and K. George Chandy |
|--|
| Application No./Patent No.: 10/533,060 Filed/Issue Date: Filed April 27, 2005 |
| Entitled: Compounds, Methods and Devices for Inhibiting Neoproliferative Changes in Blood Vessel Walls |
| The state of the s |
| Regents of the University of California , 2 Corporation (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.) |
| states that it is: 1. |
| 2. an assignee of less than the entire right, title and interest (The extent (by percentage) of its ownership interest is%) |
| in the patent application/patent identified above by virtue of either: |
| A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 017372 , Frame 0588 , or for which a copy thereof is attached. OR |
| B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows: |
| From: To: The document was recorded in the United States Patent and Trademark Office at Reel Frame , Frame , or for which a copy thereof is attached. |
| 2. From: To: |
| The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached. |
| 3. From:To: |
| The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached. |
| Additional documents in the chain of title are listed on a supplemental sheet. |
| As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11. |
| [NOTE: A separate copy (<i>i.e.</i> , a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. <u>See MPEP 302.08</u>] |
| The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee. |
| Signature |
| Linda S, Stevenson (510)587-6057 |
| Printed or Typed Name Telephone Number |
| Manager, Patent Prosecution Title |

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

ATTESTATION



e undersigned hereby deposes and attests:

that Linda S. Stevenson is duly authorized by The Regents of the University of California, located at 1111 Franklin Street, 12th Ploor, Oakland, CA 94607-5200, to execute documents related to the filing and prosecution of United States and Foreign Utility and Design Patent Applications, and related forms of protection of industrial property worldwide, including but not limited to powers of attorney, various declarations, terminal disclaimers, certification 37, assignments - U.S. and Foreign, licenses to Federal government agencies, Chapter I and II Demands and all related PCT documents, as well as technical questionnaires, and small entity status declarations;

that the legal capacity of said person is legitimate and in accordance with the instruments and documents presented to me which constitute direct and positive evidence of the legal capacity above mentioned; that I carefully examined these instruments and that formal requirements provided therein have been fulfilled so as to prove the legal capacity of said person. The documents and records examined and which constitute direct and positive evidence of the legal capacity of said person is as follows:

Standing Order 100.4 as adopted by The Regents of the University of California at a duly called meeting on January 21, 1983, in the city of San Francisco, County of San Francisco, State of California, which delegates authority to the President of the University for administration of intellectual property, and

Redelegation of authority by the President to the Senior Vice President-Business and Finance on July 29, 1993, and

Redelegation of authority by the Senior Vice President-Business and Finance to the Executive Director, Office of Technology Transfer on August 1,1994 and

Redelegation of authority by the Executive Director, Office of Technology Transfer to Linda S. Stevenson, Manager, Patent Prosecution, Office of Technology Transfer on December 21, 1993 and reaffirmed by the Executive Director on August 1, 1994.

The undersigned further attests that The Regents of the University of California is a corporation duly organized and legally existing under the constitution of the State of California, United States of America and that the purpose or purposes for which the present document is granted are comprised within the objects set forth in the charter of this corporation.

THE REGENTS OF THE

UNIVERSITY OF CALIFORNIA

William T. Tucker Executive Director

Research Administration and

Technology Transfer

becamber 15, 2006

Date